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PTO/SB/21 REV 1 (12/97)

Approved for use through 09/30/2000. omb 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   |                      |                        |                 |
|---|----------------------|------------------------|-----------------|
| <br><b>TRANSMITTAL FORM</b><br><small>to be used for all correspondence after initial filing)</small> | Application Number   | 09/884,747             |                 |
|   | Filing Date          | 06/18/2001             |                 |
|   | First Named Inventor | H. Santini             |                 |
|   | Examiner Name        | Anthony D. Tugbang     |                 |
|   | Group Art Unit       | 3729                   |                 |
| Total Number of Pages in This Submission  | 20 + postcard        | Attorney Docket Number | SJO920000108US1 |

**ENCLOSURES (check all that apply)**

- ☒ Fee Transmittal Form
  - ☐ Fee Attached
- ☒ Amendment/Response
  - ☐ After Final
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Supplemental Information
  - ☐ Disclosure Statement
  - ☐ PTO Form 1449
  - ☐ 6 cited references
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
  - ☐ PTO Form 1533
  - ☐ Response to Missing Parts Under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Request for Drawing Amendment
- ☐ Licensing-related Papers
- ☐ Petition Checklist and Accompanying Petition
- ☐ To Convert a Provisional Application
- ☐ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

POSTCARD

Remarks:

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**DEC 08 2003**  
TECHNOLOGY CENTER R3700

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                         |                                      |
|-------------------------|--------------------------------------|
| Firm or Individual Name | Ervin F. Johnston<br>Reg. No. 20,190 |
| Signature               |                                      |
| Date                    | Nov 25, 2003                         |

**CERTIFICATE OF MAILING**

|  |                   |                          |
|--|-------------------|--------------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:<br>Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this date: <u>Nov 25, 2003</u> |                   |                          |
| Typed or printed name  | Ervin F. Johnston |                          |
| Signature  |                   | Date <u>Nov 25, 2003</u> |

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PTO/SB/17 REV 1 (12/97)

Approved for use through 09/30/2000. omb 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective November 10, 1998.

Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12  
See 37 C.F.R. §§ 1.27 and 1.28

NOV 28 2003

TOTAL AMOUNT OF PAYMENT (\$172.00)

### METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 09-0442  
Deposit Account Name International Business Machines Corp.

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance. 37 CFR 1.311(b)

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity      | Small Entity | Fee | Fee | Fee Description        | Fee Paid |
|-------------------|--------------|-----|-----|------------------------|----------|
| Code (\$)         | Code (\$)    |     |     |                        |          |
| 101               | 750          | 201 | 375 | Utility filing fee     |          |
| 106               | 330          | 206 | 165 | Design filing fee      |          |
| 107               | 520          | 207 | 260 | Plant filing fee       |          |
| 108               | 750          | 208 | 375 | Reissue filing fee     |          |
| 114               | 160          | 214 | 80  | Provisional filing fee |          |
| SUBTOTAL (1) (\$) |              |     |     |                        |          |

#### 2. EXTRA CLAIM FEES

| Total Claims              | Extra Claims | Fee from below | Fee Paid |
|---------------------------|--------------|----------------|----------|
| Independent               |              |                |          |
| Claims                    | 5            | 3** = 2 x 86   | 172.00   |
| Multiple Dependent Claims |              |                |          |

\*\* or number previously paid, if greater; For Reissues, see below

| Large Entity      | Small Entity | Fee | Fee | Fee Description  | Fee Paid |
|-------------------|--------------|-----|-----|--|----------|
| Code (\$)         | Code (\$)    |     |     |  |          |
| 103               | 18           | 203 | 9   | Claims in excess of 20                                     |          |
| 102               | 84           | 202 | 42  | Independent claims in excess of 3                          |          |
| 104               | 280          | 204 | 140 | Multiple dependent claim                                   |          |
| 109               | 80           | 209 | 40  | ** Reissue independent claims over original patent         |          |
| 110               | 18           | 210 | 9   | ** Reissue claims in excess of 20 and over original patent |          |
| SUBTOTAL (2) (\$) |              |     |     |  | 172.00   |

Complete If Known

Application Number 09/884,747  
Filing Date 06/18/2001  
First Named Inventor H. Santini  
Examiner Name Anthony D. Tugbang  
Group / Art Unit 3729  
Attorney Docket No. SJ0920000108US1

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee | Fee    | Fee Description   | Fee Paid |
|--------------|--------------|-----|--------|---|----------|
| Code (\$)    | Code (\$)    |     |        |   |          |
| 105          | 130          | 205 | 65     | Surcharge - late filing fee or oath                         |          |
| 127          | 50           | 227 | 25     | Surcharge - late provisional filing or cover sheet          |          |
| 139          | 130          | 139 | 130    | Non-English specification                                   |          |
| 147          | 2,520        | 147 | 2,520  | For filing a request for reexamination                      |          |
| 112          | 920*         | 112 | 920*   | Requesting publication of SIR prior to Examiner action      |          |
| 113          | 1,840*       | 113 | 1,840* | Requesting publication of SIR after Examiner action         |          |
| 115          | 110          | 215 | 55     | Extension for response within first month                   |          |
| 116          | 410          | 216 | 205    | Extension for response within second month                  |          |
| 117          | 930          | 217 | 465    | Extension for response within third month                   |          |
| 118          | 1,450        | 218 | 725    | Extension for response within fourth month                  |          |
| 128          | 1,970        | 228 | 985    | Extension for response within fifth month                   |          |
| 119          | 320          | 219 | 160    | Notice of Appeal  |          |
| 120          | 320          | 220 | 160    | Filing a brief in support of an appeal                      |          |
| 121          | 280          | 221 | 140    | Request for oral hearing                                    |          |
| 138          | 1,510        | 138 | 1,510  | Petition to institute a public use proceeding               |          |
| 140          | 110          | 240 | 55     | Petition to revive unavoidably abandoned application        |          |
| 141          | 1,300        | 241 | 650    | Petition to revive unintentionally abandoned application    |          |
| 142          | 1,300        | 242 | 650    | Utility issue fee (or reissue)                              |          |
| 143          | 470          | 243 | 235    | Design issue fee  |          |
| 144          | 630          | 315 | 300    | Plant issue fee   |          |
| 122          | 130          | 122 | 130    | Petitions to the Commissioner                               |          |
| 126          | 180          | 126 | 180    | Submission of Information Disclosure Stmt                   |          |
| 146          | 750          | 246 | 375    | Filing a submission after final rejection (37 CFR 1.129(a)) |          |
| 179          | 750          | 249 | 375    | Request for continued examination (RCE) (37 CFR 1.114)      |          |

Other fee (specify) Terminal Disclaimer  
Other fee (specify)

SUBTOTAL (3) (\$)

\*Reduced by Basic Filing Fee Paid

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### SUBMITTED BY

Typed or Printed Name Ervin P. Johnston

Signature

COMPLETE (if applicable)

Reg. Number 20,190

Date Nov 25, 2003